SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL	
OMB Number:	3235-0287
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1. Name and Address of Reporting Person*

Ricotta Dominic

(Last) (First) (Middle)

2000 POST OAK BLVD.

STE 100

(Street)

HOUSTON TX 77056

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading Symbol

APACHE CORP [APA]

3. Date of Earliest Transaction (Month/Day/Year)

02/01/2019

4. If Amendment, Date of Original Filed (Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer (Check all applicable)

Director | 10% Owner

X Officer (give title below) | Other (specify below)

Sr. Vice President

6. Individual or Joint/Group Filing (Check Applicable Line)

X Form filed by One Reporting Person

Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			
Common Stock	02/01/2019		M[1]		2,710	A	$0	19,024	D	
Common Stock	02/01/2019		F[2]		1,067	D	$32.65	17,957	D	
Common Stock	02/01/2019		M		1,806	A	$0[3]	19,763	D	
Common Stock	02/01/2019		D[3]		1,806	D	$32.65	17,957	D	
Common Stock	02/03/2019		M[4]		2,562	A	$0	20,519	D	
Common Stock	02/03/2019		F[2]		1,009	D	$32.65	19,510	D	
Common Stock								5,957.559	I	Held by Trustee 401(k) Plan
Common Stock								12,243.933	I	Held by Trustee NQ Plan

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Restricted Stock / Units	$0[5]	02/01/2019		M			2,710	[1]	[1]	Common Stock	2,710	$0	51,531	D	
Restricted Stock / Units	$0[3]	02/01/2019		M			1,806	[6]	[6]	Common Stock	1,806	$0[3]	49,725	D	
Restricted Stock / Units	$0[5]	02/03/2019		M			2,562	[4]	[4]	Common Stock	2,562	$0	47,163	D	

Explanation of Responses:

1. Vesting on 02/01/2019 of restricted stock units under the employer plan. Vesting occurs ratably over three years.
2. Shares withheld to cover required tax withholding on vesting of restricted stock.
3. Each restricted stock unit is the economic equivalent of one share of the Issuer's common stock and can only be settled in cash
4. Vesting on 02/03/2019 of restricted stock units under employer plan. Vesting occurs ratably over three years.
5. One share of Apache common stock for each restricted stock unit.
6. Vesting on 02/01/2019 of cash-based restricted stock units granted under employer plan. Vesting occurs ratably over three years.

Raj Sharma, Attorney-in-Fact 02/05/2019

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, *see* Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.